

Assessment & Accreditation Process of NAAC in Revised Accreditation Framework

Dr. Ruchi Tripathi
ASSISTANT ADVISER
NATIONAL ASSESSMENT AND
ACCREDITATION COUNCIL (NAAC)
BENGALURU

Need for Revised Accreditation Framework

- Need for Objective, transparent and robust system of accreditation
- A methodology that can emphasize more on objectivity and rule out subjective judgement
- A framework that can establish scores quantitatively.
- Integrate performance of the institution in Seven broad criteria (about 70%) including Student Satisfaction Survey, perception of the Peer group (about 30%).
- Performance of the institutions can be analysed as metric level, Key indicator level or criteria level.
- The methodology that is transparent and completely ICT enabled, so that assessment results can be obtained quickly.
- Strategies for un-influential results and can be best representation of the real world

Implementation of Revised Accreditation Framework

- The preparation of RAF began in June 2016
- By forming different Core Work groups the design and metrics started then
- The formalities of development of portal based application processing was initiated in June 2016, initially designed to be a window based process.
- By July 2017, the first window was inaugurated and the maiden process of RAF began.
- Many hurdles and challenges were faced and resolved during the implementation phase.
- Introducing key indicators on Alumni engagement and Student satisfaction survey.
- Data validation by external professional agencies

Key Features of Revised Accreditation Framework

- Total **13072** accreditation visits (includes multiple cycles) covering **598** universities and **12474** colleges.
- Grade Break Up of Institutions accredited as on 09/08/2019. 1891 Institutions are A grade, 5523 Institutions are B grade & 950 Institutions are C grade
- Facilitating quality and excellence by establishing Internal Quality Assurance Cells (IQACs) and series of good practices and quality initiatives.
- System Generated scores(SGS) with combination of online evaluation(70%) **quantitative data based** and peer judgment (30%) **qualitative** data based
- Significant difference in evaluation of Universities, Autonomous Colleges and Affiliated/Constituent Colleges.

Fee structure for A&A process

(IIQA)- Rs. 25,000/- + GST 18%.

General College with multi faculty
viz., i.e. Arts, Commerce and Science
- Rs. 1,85,000/- + GST18%.

General College with mono faculty
viz., Arts/Commerce/Science/or
any other - Rs. 1,25,000/- +
GST18%.

**For Universities and Professional
Institutions:-** 1 to 10 departments -
Rs. 3,75,000/-+ GST18%. More
than10 departments - Rs.
7,50,000/- + GST18%

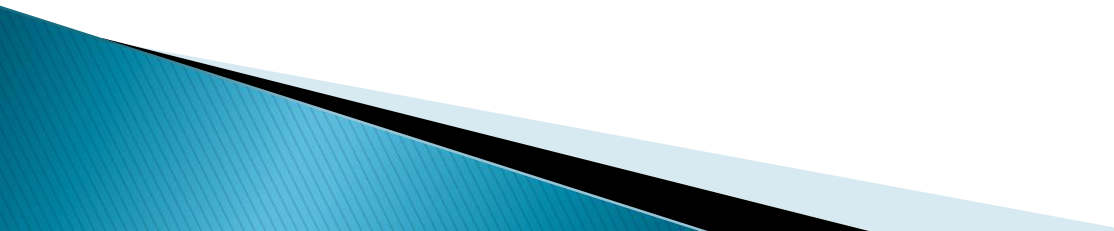
▶ **The accreditation fee will be
limited to a maximum amount of
Rs. 7,50,000/- + GST18%, per
institution.**

▶ **Logistics Fee: for Colleges**
Rs.1,50,000/- + GST 18% **for**
Universities & Professional
Institution Rs.3,00,000/- + GST
18%

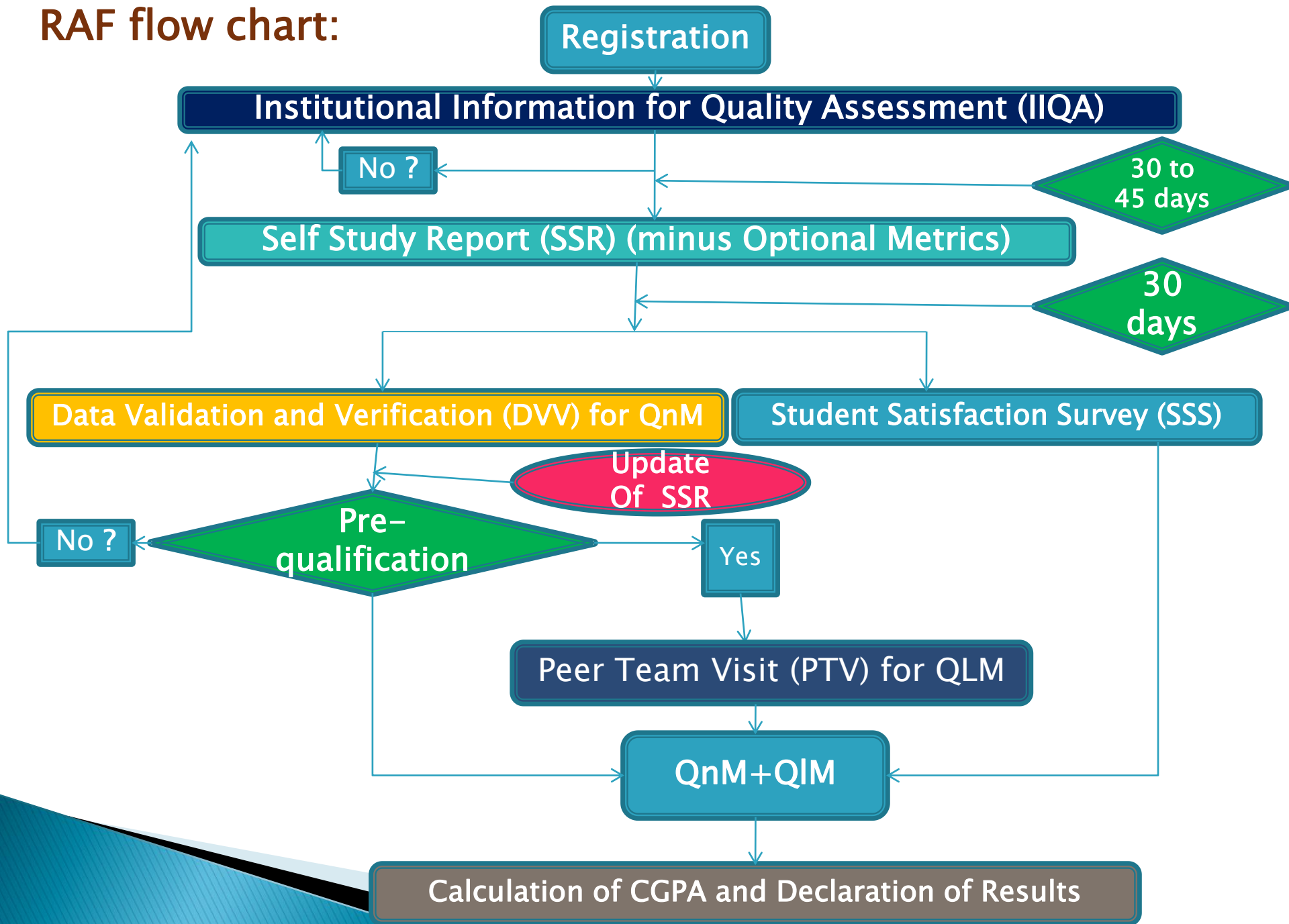
Eligibility Criteria

- ▶ Higher Education Institutions (HEIs), with a record of at **least two batches of students graduated**, or been in **existence for six years**, whichever is earlier, are eligible to apply for the process of Assessment and Accreditation (A&A) of NAAC, and fulfil the other conditions or are covered by the other provisions, if any.

The Process for Assessment and Accreditation

1. Institutional Information for Quality Assessment (IIQA)
 2. Self-Study Report (SSR)
 3. Data Validation and Verification (DVV)
 4. Student Satisfaction Survey (SSS)
 5. Peer Team Visit.
 6. Institutional Grading.
- 

RAF flow chart:



1- Institutional Information for Quality Assessment (IIQA)

Filling:

HEI Portal



TEST COLLEGE

pragathis18@gmail.com
AISHE Id: C-12340



[View filled details](#)

[View IIQA compliance](#)

- Basic Eligibility
- Affiliation Compliance
- Profile Information
- Academic Information
- Quality Information

Institutional Information for Quality Assessment (IIQA)

(For all Colleges including Autonomous Colleges)

TEST COLLEGE , Hubli, Karnataka

Accreditation ⓘ

Reassessment ⓘ

Cycle of Accreditation ⓘ

Cycle 1

Name of Higher Education Institution ⓘ

TEST COLLEGE

City

Hubli

State/UT

Karnataka

Date of establishment of the Institution

01/01/1999



OR

YYYY

Years of graduation of the last two batches

Year 1

2016

Year 2

2017

Save and Next

Registration Process

www.naac.gov.in



Apply online



Apply



HEI Login



New Registration

- ▶ Institution mail id is log in id & {Name of Institutions, Type of Institution, AISHE(C-college, S- stand alone college), email ID, Mobile number & Are you previously Accredited-yes, no}
- ▶ After completion of registration, an auto-generated email to the registered email id which contains a link for activation along with login credentials for accessing the HEI portal.
- ▶ The link for activating the HEI portal is valid with in 7 days

- ▶ On successful Completion of Registration, a HEI portal is created which is specific to your Institution. For all future submissions HEI may login to their portal (HEI portal) with the credentials (Institutional Email id, Password) which are received in HEI's registered email id.
- ▶ Institution needs to submit all applications, send **communications to NAAC only through HEI portal.**
- ▶ In case you have forgotten the password, Click on "Forgot Password" option and follow the instructions to reset the password.
- ▶ For any queries regarding credentials please contact NAAC helpdesk naachelpdesk@gmail.com .
In all your communications, kindly mention College Name, AISHE ID/ Track ID and Contact number.

IIQA Application

- ▶ Institution has to submit the IIQA application through the HEI portal. Please note the system will automatically display the Cycle number in the IIQA application based on the registration record.
- ▶ Using the tabs (Basic Eligibility, Affiliation Compliance, Profile Information, Academic Information, Quality Information) proceed for completing and submitting the IIQA
- ▶ Please verify and edit the data/information prior to submission.
- ▶ For IIQA fee details, please visit NAAC website. NAAC accepts only online Payments.
- ▶ All documents to be uploaded in pdf format only and should not exceed 1 MB.

Documents for Colleges/University

- ▶ **Affiliating University(ies) letter(s)** for the **current academic year** for all the programs offered by the HEI. In case the HEI is not having affiliation for the current academic year (for all or some of the programs offered), the HEI need to **submit the latest affiliation letter(s) along with the copy of the request letter / application and fee details** (as applicable) sent to the University for renewal of affiliation.
- ▶ **Approval of UGC/MHRD/State government for establishment of the University(in case of University)**
- ▶ **Current academic year approval letter from Statutory Regulatory Authority (SRA) like AICTE, MCI, BCI, PCI, etc...** if applicable. However in case of BCI, if the HEI does not have the approval letter for the current academic year then HEI need to submit University affiliation letter for the current academic year for all the programs covered under BCI. Along with the above the HEI should submit a copy of the latest BCI approval and the copy of the application and fee submitted to BCI for extension of approval for the current year.

- ▶ Colleges with **CPE / CE / UPE Status** – Latest letter from UGC awarding CPE / CE Status.
- ▶ **Autonomous Colleges-** Latest letter from UGC conferring Autonomous Status.
- ▶ Upload the latest **AISHE certificate** which specifies correct college name. Do not upload the PRINT COPIES of detailed data sheets uploaded on AISHE portal. All the institutions intending to apply for Assessment and Accreditation by NAAC need to mandatorily upload the information on All India Survey on Higher Education (AISHE) portal. AISHE code (reference number) is one of the requirements for Registration.
- ▶ If there is a **change in name**, submit approval of the affiliating University indicating the change. HEIs covered by any SRA / UGC should also submit the approvals from the respective SRA/UGC indicating the change.
- ▶ **Standalone institutions** should submit equivalence certificate (UG/PG) from **AIU or** any other governmental agencies.
- ▶ Submit SRA or other governmental agencies approval for standalone institutions.

- ▶ Stand alone institutions should submit their SSR using autonomous manual.
- ▶ **Upload AQARs in the website** and provide URL/web links in IIQA (applicable for institutions applying **for Cycle 2 and subsequent cycles**).
- ▶ **Undertaking** by the HEIs complying with rules and regulations of Central Government/State Government, UGC, Affiliating University and other applicable SRA in the prescribed format of NAAC.
- ▶ **Self declaration** with respect to Affiliation status in the prescribed format of **NAAC**.
- ▶ UGC 2f/12(B) recognition certificate(s) along with latest Plan /General Development Grant release letter from UGC (if applicable).

Points to be noted- Clarification of IIQA

1. Kindly provide the **English translated version** of all the documents with self attestation if the documents are in regional language.
2. Ensure the **name of the Institution is same in IIQA application, affiliation letter(s), SRA approval letter(s) (if applicable), UGC letter granting** autonomous status (if applicable) and AISHE certificate submitted. Otherwise, IIQA application may not be processed further.
3. Ensure that the **previous four years AQARs are uploaded on the HEI website** (applicable for institutions applying for Cycle 2 and subsequent cycles).
4. Ensure that the **HEI website is functioning**.
5. **When an institution undergoes the accreditation process for the first time it is referred to as cycle 1 and the subsequent A & A process are referred as cycle 2, 3, etc.** If your institution is accredited by NAAC at any point of time under any methodology, HEI need to apply for cycle 2 (reassessment and revisit are counted as within the same cycle). Similarly if institution is accredited by NAAC twice (reassessment and revisit are not counted for this purpose), HEI need to apply for cycle 3 and so on. Kindly ensure that the correct cycle number is entered during registration

6. **Re-assessed institution** cannot come for another re-assessment in the same cycle.
7. During registration process kindly ensure that the AISHE code of the institution as mentioned in the **AISHE certificate only is entered.**
8. Details of all the **programs** offered at the institution including PG Diploma must be entered in **IIQA**. But only number of Certificate / Diploma programs needs to be mentioned Program Details of Certificate/Diploma programs need not be entered. Please ensure that the program details are entered by mentioning the subject combinations in the field “Specialization” for general programs whereas for Honours program, subject specialization may be entered.
9. If there is any discrepancy in the data/information provided or the required documents are not submitted by the HEI, a clarification will be sent by the coordinator to the HEI portal. **The clarification should be answered only through HEI portal.** The HEI need to provide the clarification and the required **documents (if any) within the timeline.** During Clarification, some of the columns will be enabled for editing so that correct and appropriate information to be provided in IIQA.

10. **All the notifications, communications, alerts and timelines with respect to application for A & A process are available on the HEI portal. Please log on to HEI portal regularly.**
11. **Stand alone institutions are considered as autonomous institutions** for the purpose of Assessment and Accreditation.
12. **HEIs can apply for A & A process throughout the year.** There is no timeline for registration and submission of IIQA. However, HEIs should submit SSR within 45 days from the date of acceptance of IIQA. Otherwise, HEI need to come afresh by applying IIQA paying the requisite fee.
13. Before submission of data/information please view the tool tips by moving the cursor on the question mark symbol at appropriate places in the IIQA application.

IIQA Application Process

HEIs may submit online application of IIQA round the year with effect from 1st June 2018

Basic
Institution
Eligibility

Affiliation /
SRA
Compliance

HEI
Academic
Data Inputs

Application*
Fees
Payment

HEI
Document
Verification

Accepted

Supported by
uploading essential
documents

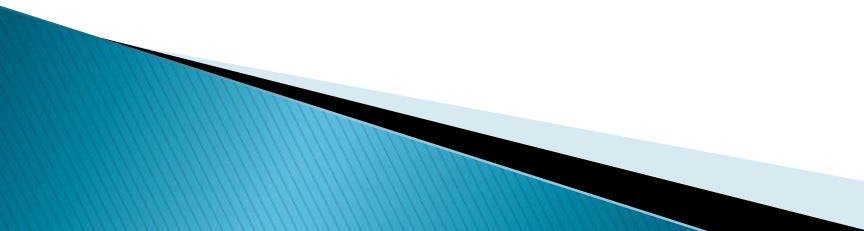
In case of rejection of IIQA application, HEI may resubmit IIQA applications for maximum of three attempts without IIQA fees, including the rejection attempt within the period of a year


Resubmit
IIQA

SSR
Application

2 - Online submission of Self - Study Report (SSR)

Details of various data required for filling up the online format of the Self - Study Report, viz.,

1. Executive Summary
 2. Profile of the Institution
 3. Extended Profile of the Institution
 4. Quality Indicator Framework (QIF)
 5. Data Templates / Documents (Quantitative Metrics)
 6. Optional Metrics
- 


 test_ssick145@gmail.com
 AISHE Id: C-7546

Higher Education Institution

- Dashboard
- Manage IIQA <
- Manage SSR >
 - Profile for SSR**
 - Extended Profile & QIF
 - Executive Summary
 - Select Optional Metrics
 - SSR Initial Payment
 - Student Details for Survey
 - Submit SSR
 - Manage DVV <
 - Manage Assessment <

Profile

[View Completion Status](#)

[View SSR Report](#)

Please submit SSR within 45 days from the IIQA approval date.

[Basic Information](#) |
 [Academic Information](#) |
 [Evaluative Report of the Departments](#)

Academic Information

Please note the session will automatically timeout in 30 minutes, ensure to save the data

Details of Programs Offered by the College (Give Data for Current Academic year)

Level of Program	Name of Program/Course	Duration in Months	Entry Qualification	Medium of Instruction	Sanctioned Strength	No.of Admitted	Students

Position Details of Faculty & Staff in the College

Teaching Faculty

▶ **1-Executive Summary**

Executive Summary highlighting the main features of the Institution including

- * **Introductory Note** on the Institution: location, vision mission, type of the institution etc.
Preface to be added
- * **Criterion-wise Summary** on the Institution's functioning in not more than 250 words for each criterion.
- Brief note on **Strength Weaknesses Opportunities and Challenges (SWOC)** in respect of the Institution.
- * **Any additional information** about the Institution other than ones already stated.
- * **Over all conclusive explication** about the institution's functioning - ***The Executive summary shall not be more than 5000 words***

2. Profile of the Institution

1. **Basic Information:** Name and Address of the College:
2. **For Communication:** Principal, Vice-Principal & IQAC Co-ordinator
3. **Status of the Institution:** Affiliated College, Constituent College, Any other (specify)
4. **Type of Institution:** a. By Gender i. For Men ii. For Women iii. Co-education b. By Shift i. Regular ii. Day iii. Evening
5. **Is it a recognized minority institution?** Yes, No
6. **Sources of funding:** Government, Grant-in-aid, Self- financing Any other
7. a. **Date of establishment of the college**
b. **University to which the college is affiliated /or which governs the college** (If it is a constituent college)
c. **Details of UGC recognition**
d. **Details of recognition/approval by statutory/regulatory bodies like AICTE, NCTE, MCI, DCI, PCI, RCI etc** (other than UGC).

- 8. Does the affiliating university Act provide for conferment of autonomy** (as recognized by the UGC), on its affiliated colleges?
Yes No If yes, has the College applied for availing the autonomous status? Yes No
- 9. Is the college recognized** a. by UGC as a College with Potential for Excellence (CPE)? Yes No If yes, date of recognition:
- 10. Location of the campus and area in:** sq.mts: Location, Campus area in sq. mts. Built up area in sq. mts
- 11. Details of programmes offered by the college** (Give data for current academic year)
- 12. Please fill in the following details if applicable:** Number of programs Self-financed programmes offered New Programmes introduced during the last five years
- 13. List the departments:** (respond if applicable only and do not list facilities like Library, Physical Education as departments, unless they are also offering academic degree awarding programmes. Similarly, do not list the departments offering common compulsory subjects for all the programmes: Science Arts Commerce Any Other (Specify)
- 14. Number of teaching and non-teaching positions in the Institution:** Teaching faculty Positions Non-teaching Technical Professor Associate Assistant staff .
- 15. Qualifications of the teaching staff: Permanent teachers** D.Sc /D.Litt. Ph.D. M.Phil. PG. **Temporary teachers** Ph.D. M.Phil. PG Part-time teachers Ph.D. M.Phil. PG

16. **Number of Visiting Faculty /Guest** Faculty engaged with the College.
17. **Furnish the number of the students admitted to the college during the last four academic years:** SC ST OBC General Others
18. **Details on students enrollment in the college during the current academic** year: Type of students UG,PG, M. Phil, Ph.D. Total Students from the same state where the college is located Students from other states of India NRI students Foreign students Total
19. **Please fill in the following details if applicable:** Unit Cost of Education Including Salary Component Excluding Salary Component * (Unit cost = total annual recurring expenditure (actual) divided by total number of students enrolled)
20. **Date of accreditation*** (applicable for Cycle 2, Cycle 3, Cycle 4 and reassessment only) Cycle 1: (dd/mm/yyyy) Accreditation Outcome/Result.....Cycle2: (dd/mm/yyyy) Accreditation Outcome/Result.....Cycle3: (dd/mm/yyyy) Accreditation Outcome/Result.....Cycle4:..... (dd/mm/yyyy) Accreditation Outcome/Result.....
21. **Date of establishment of Internal Quality Assurance Cell (IQAC)** IQAC (dd/mm/yyyy)
22. **Details regarding submission of Annual Quality Assurance Reports (AQAR) to NAAC** AQAR (i) (dd/mm/yyyy) AQAR (ii) (dd/mm/yyyy) AQAR (iii) (dd/mm/yyyy) AQAR (iv) (dd/mm/yyyy)

3. Extended Profile of the Institution

Extended profile contains all the questions which are basically the figures of **denominators** of the formulas used for calculation of various Quantitative Metric values.

1-Programme:

1.1 Number of courses offered by the Institution across all programs during the last five years.

2-Student:

2.1 Number of students year wise during the last five years

2.2 Number of seats earmarked for reserved category as per GOI/ State Govt rule year wise during the last five years

2.3 Number of outgoing/ final year students year wise during the last five years

3-Academic:


3.2 Number of full time teachers year wise during the last five years

3.3 Number of Sanctioned posts year wise during the last five years

4. Institution:

4.1 Total number of Classrooms and Seminar halls _____

4.2 Total expenditure excluding salary year wise during the last five years (INR in lakhs)


 test_ssick145@gmail.com
 AISHE Id: C-7546

Higher Education Institution

- Dashboard
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[View Completion Status](#) [View SSR Report](#)

[Extended Profile](#) [QIF](#)

Please submit SSR within 45 days from the IIQA approval date.

1 . Program

1.1 . Number of courses offered by the institution across all programs during the last five years

File Description	Template	Documents
Institutional Data in Prescribed Format*	Data Template	<input type="button" value="Upload"/>

Please note that it is mandatory to download the NAAC specified template, fill up the data, and upload the data file.

1.2 . Number of programs offered year-wise for last five years

	2018-19	2017-18	2016-17	2015-16	2014-15
	<input style="width: 40px; height: 30px;" type="text"/>	<input style="width: 40px; height: 30px;" type="text"/>	<input style="width: 40px; height: 30px;" type="text"/>	<input style="width: 40px; height: 30px;" type="text"/>	<input style="width: 40px; height: 30px;" type="text"/>

4- Quality Indicator Framework (QIF) Distribution of Metrics and Key Indicators across Criteria

Type of HEIs	Universities	Autonomous Colleges	Affiliated/ Constituent Colleges
Criteria	7	7	7
Key Indicators (KIs)	34	34	32
Qualitative Metrics (QlM)	38	38	41
Quantitative Metrics (QnM)	99	98	80
Total Metrics (QlM + QnM)	137	136	121

Criteria and Key Indicators with its Weightages

Criteria	Key Indicators	Universiti es	Autonomou s Colleges	Affiliated / Constituent Colleges
1. Curricular Aspects	1.1 *(U)Curriculum Design and Development	50	50	NA
	1.1. *(A) Curricular Planning and Implementation	NA	NA	20
	1.2 Academic Flexibility	50	40	30
	1.3 Curriculum Enrichment	30	40	30
	1.4 Feedback System	20	20	20
	Total	150	150	100
2. Teaching- Learning and Evaluation	2.1 Student Enrolment and Profile	10	20	30
	2.2 Catering to Student Diversity	20	30	50
	2.3 Teaching-Learning Process	20	50	50
	2.4 Teacher Profile and Quality	50	60	80
	2.5 Evaluation Process and Reforms	40	40	50
	2.6 Student Performance and Learning Outcomes	30	50	40

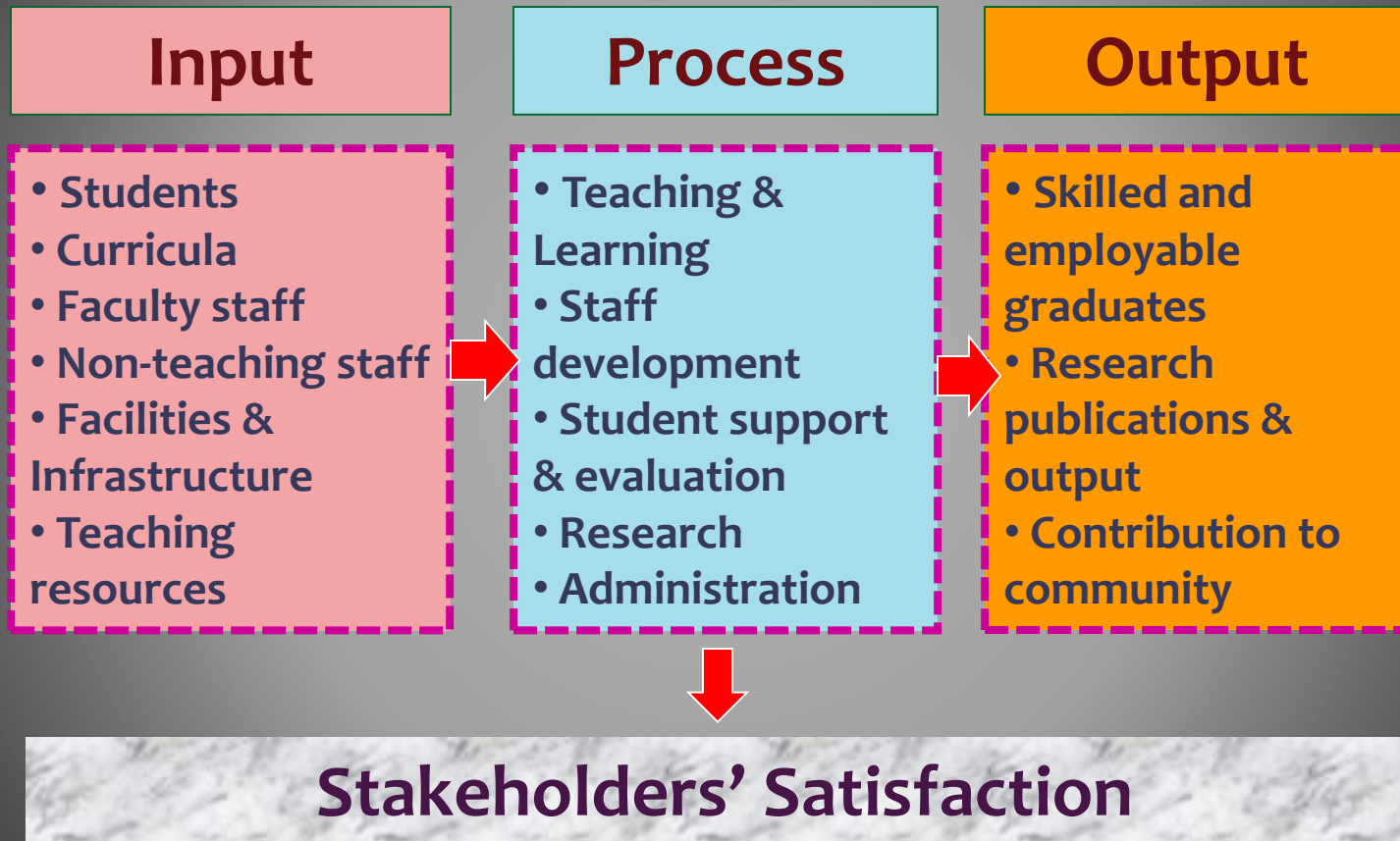
Criteria	Key Indicators	Universities	Autonomous	Affiliated /
			Colleges	Constituent Colleges
3. Research, Innovations and Extension	3.1 Promotion of Research and Facilities	20	20	NA
	3.2 Resource Mobilization for Research	20	10	10
	3.3 Innovation Ecosystem	30	20	10
	3.4 Research Publications and Awards	100	20	20
	3.5 Consultancy	20	10	NA
	3.6 Extension Activities	40	50	60
	3.7 Collaboration	20	20	20
	Total	250	150	120
4. Infrastructure and Learning Resources	4.1 Physical Facilities	30	30	30
	4.2 Library as a Learning Resource	20	20	20
	4.3 IT Infrastructure	30	30	30
	4.4 Maintenance of Campus Infrastructure	20	20	20
	Total	100	100	100
5. Student Support and	5.1 Student Support	30	30	50
	5.2 Student Progression	40	30	45

Criteria	Key Indicators	Universities	Autonomous Colleges	Affiliated / Constituent Colleges
6. Governance, Leadership and Management	6.1 Institutional Vision and Leadership	10	10	10
	6.2 Strategy Development and Deployment	10	10	10
	6.3 Faculty Empowerment Strategies	30	30	30
	6.4 Financial Management and Resource Mobilization	20	20	20
	6.5 Internal Quality Assurance System	30	30	30
	Total	100	100	100
7. Institutional Values and Best Practices	7.1 Institutional Values and Social Responsibilities	50	50	50
	7.2 Best Practices	30	30	30
	7.3 Institutional Distinctiveness	20	20	20
	Total	100	100	100

The highlights of present QIF is as follows:-

2.7 - Student satisfaction Survey	30	50	50
3.3 - Innovation Ecosystem	30	20	10
5.4 - Alumni Engagement	10	10	10
7.1 - Institutional Values and Social Responsibilities	50	50	50
7.2 - Best Practices	30	30	30
7.3 - Institutional Distinctiveness	20	20	20

Stakeholder Focus



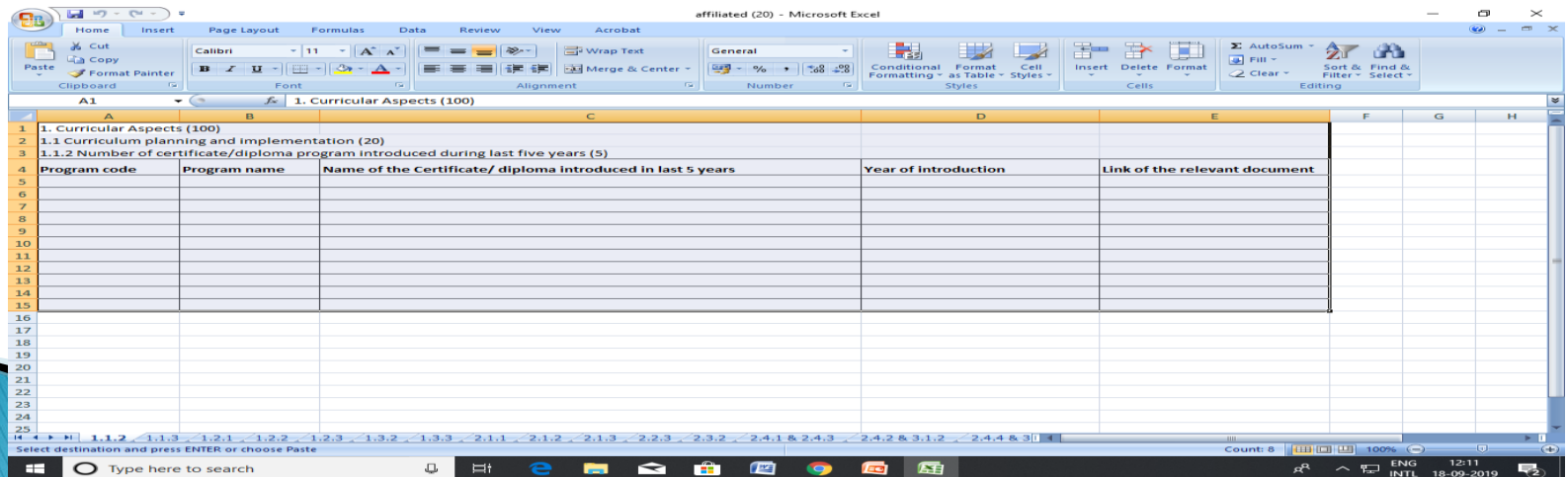
Example: Qualitative Metric (Q₁M) and Quantitative Metric (Q_nM)

Key Indicator - 1.3 Curriculum Enrichment (30)

Qualitative/ Quantitative Metrics	1.3. Curriculum Enrichment (30)	Benchmark Values				
		4	3	2	1	0
1.3.1 Q₁M	Institution integrates cross cutting issues relevant to Gender, Environment and Sustainability, Human Values and Professional Ethics into the Curriculum (10)					
1.3.2 Q_nM	Number of value-added courses imparting transferable and life skills offered during the last five years (10)	≥AA	AA-BB	BB-CC	DD-CC	<DD
1.3.3 Q_nM	Percentage of students enrolled in the courses under 1.3.2 above (5)	≥AA	AA--BB	BB-CC	DD-CC	<DD
1.3.4 Q_nM	Percentage of students undertaking field projects / internships (5)	≥AA	AA-BB	BB-CC	DD-CC	<DD

5. Data Templates / Documents

- ▶ (Quantitative Metrics) The online formats (Templates) for submitting data with respect to Quantitative Metrics (QnM) are given in consecutive pages. Kindly Note: For each Quantitative Metric the kinds of data to be uploaded are indicated in tabular form and/ or documents required are listed.
- ▶ Documents such as minutes of meeting, decisions, statements of accounts, award letters, letters of appointments, etc., need to be uploaded as required; wherever these are in bulk, hyperlinks to the appropriate website be given.
- ▶ There could be some variation in the metrics from the QIF; this is due to rendering it to the IT format for online submission.



The screenshot shows a Microsoft Excel spreadsheet titled "1. Curricular Aspects (100)". The spreadsheet is organized into a table with the following structure:

1	1. Curricular Aspects (100)				
2	1.1 Curriculum planning and implementation (20)				
3	1.1.2 Number of certificate/diploma program introduced during last five years (5)				
4	Program code	Program name	Name of the Certificate/ diploma introduced in last 5 years	Year of introduction	Link of the relevant document
5					
6					
7					
8					
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24					
25					

Data Templates and suggested Documents for verification – Universities (Quantitative Metrics)

Sl. NO.	Criterion I – Curricular Aspects		
	Key Indicator - 1.1 Curriculum Design and Development		
1	1.1.2 Percentage of programs where syllabus revision was carried out during the last five years		
	Program Code of revised syllabus	Program name of revised syllabus	Year of revision
	Documents: <ul style="list-style-type: none"> • Minutes of relevant Academic Council/BOS meeting • Institutional data in prescribed format. 		

2	1.1.3 Average percentage of courses having focus on employability/ entrepreneurship/ skill development			
	Name of the Course	Course Code	Name of the Program	Activities with direct bearing on Employability/ Entrepreneurship/ Skill development
				Year of introduction
	Documents: <ul style="list-style-type: none"> • Program/ Curriculum/ Syllabii of the courses • Minutes of the Boards of Studies/ Academic Council meetings with approvals for these courses. • MoU's with relevant organizations for these courses, if any. • Institutional data in prescribed format. 			



6-Optional Metrics

- ▶ In this diversified education system of India, there can be few metrics which may not be applicable to the HEIs. Thus in order to facilitate the HEIs, NAAC has come out with this concept of Non Applicable Metrics.
- ▶ Thus the provision is made for the HEIs to opt out some of the metrics which may not be applicable to them for various reasons.

The rules for opting out non applicable metrics:

- a) Maximum weightage of metrics that can be opted out shouldn't exceed 50 (up to 5%).
- b) Metrics with maximum of total 20 weightage per criteria can only be opted out.

c) All metrics in Criterion 7 are essential. None of the metrics in this Criterion can be opted out.

d) Metrics identified as essential cannot be opted


e) Qualitative metrics cannot be opted out.

- The essential metrics across 6 criteria have been identified for Universities, Affiliated/constituent colleges and Autonomous Colleges which cannot be opted out.

- The calculation of Cumulative Grade Point Average (CGPA) of Higher Education Institutions (HEIs) will be done excluding the 5% metrics as opted out by the HEIs.

- This decision is aimed at helping HEIs, as they will not be assessed on metrics not applicable to them.

- HEIs willing to opt out the 5% of non applicable metrics need to exercise the same, prior to final submission of SSR to NAAC.

 test_sslck145@gmail.com
 AISHE Id: C-7546

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Map Not Applicable Metrics

Please submit SSR within 45 days from the IIQA approval date.

1 Curricular Aspects : Weightage (100)

1.1 Curricular Planning and Implementation : Weightage (20)

Ref No	Details of Metric	Weightage	Metric Performance	Select
1.1.2	Number of certificate/diploma program introduced during the last five years (Metric Type : Derived , Question Type : QN , Evaluation : By DVV , Nature : Value)	5		<input type="checkbox"/>
1.1.3	Percentage of participation of full time teachers in various bodies of the Universities/ Autonomous Colleges/ Other Colleges, such as BoS and Academic Council during the last five years (Metric Type : Derived , Question Type : QN , Evaluation : By DVV , Nature : Value)	5		<input type="checkbox"/>

Total Weightage

0

Save
Finalize
Clear

1.2 Academic Flexibility : Weightage (30)

Ref No	Details of Metric	Weightage	Metric Performance	Select
1.2.1	Percentage of new Courses introduced out of the total	10		<input type="checkbox"/>

DATA VALIDATION AND VERIFICATION (DVV) Process

- ▶ The **Quantitative Metrics (QnM)** of SSR will be sent for Data Validation and Verification (DVV) Partners.
- ▶ Total Metrics in **Universities** = **137** out of which **99** are **(QnM)** Metrics.
- ▶ Total Metrics in **Autonomous** Colleges = **136** out of which **98** are **(QnM)** Metrics.
- ▶ Total Metrics in **Affiliated/Constituent** Colleges = **121** out of which **80** are **(QnM)** Metrics.
- ▶ Institutions found to be providing **incorrect information/data for Quantitative metrics, during validation and verification stage will be asked for clarifications.**
- ▶ **There is a fixed timeline for the entire DVV process.** Institutions are supposed to respond within stipulated time given by DVV partner, during DVV clarification stage.
- ▶ On the basis of clarifications submitted by the HEIs, the data will be again sent for DVV Partners.

DVV Deviation

After DVV process, a DVV Deviation report will be generated.

Extended Profile Deviation Metrics Level Deviation

Status of each deviated metric will be classified as follows:

1. No Answer Change
2. HEI Clarification Accepted
3. DVV Suggestion Recommended
4. Changed after Clarification



test_garhakotacollege@gmail.co
 AISHE Id: C-19164

Extended Profile Deviations Metrics Level Deviations

- Higher Education Institution
- Dashboard
- Manage IIQA <
- Manage SSR <
- Manage DVV <
- Manage Assessment <
- Manage Appeal <
- Manage AQAR <
- Reports <
- Manage Profile Details
- Support/ Helpdesk
- Guidelines
- FAQ

HEI Name : GOVT.P.G.COLLEGE GARHAKOTA Assignment Date : 23/03/2019 Number of Clarifications : 10
 AISHE ID : C-19164 Last Date : 07/04/2019

Extended ID	Deviation Details and HEI Response	Affected Metrics	Findings of DVV	Response of HEI	Status
1.1	Number of courses offered by the institution across all programs during the last five years HEI Input : 2 DVV suggested Input: 126 HEI clarification Input : 115 Recommended Input : 115 Attached Documents : 1.Institutional Data in Prescribed Format	1.2.1	What has been included by the HEI is the number of the main streams of programs and NEITHER the Programs NOR the courses. There seems to exist a confusion about what is a program vis-a-vis a course. The number of courses taught in each program being more than one, the number of courses	NUMBER OF COURSE OFFERED ACROSS ALLPROGRAMS IS 115	HEIs Clarification Accepted

Extended Profile Deviation

Inbox (1) - druchitripathibr@gm... x DVV-HEI Clarification Request x +

https://assessmentonline.naac.gov.in/public/index.php/admin/dvv_heideclaration

Extended Profile Deviations Metrics Level Deviations

HEI Name : PSG COLLEGE OF TECHNOLOGY Assignment Date : 14/10/2018 Number of Clarifications : 4

AISHE ID : C-37013 Last Date : 29/10/2018

Extended ID	Deviation Details and HEI Response	Affected Metrics	Findings of DVV	Response of HEI	Status										
1.1	<p>Number of students year-wise during the last five years</p> <p>HEI Input :</p> <table border="1"> <thead> <tr> <th>2017-18</th> <th>2016-17</th> <th>2015-16</th> <th>2014-15</th> <th>2013-14</th> </tr> </thead> <tbody> <tr> <td>8449</td> <td>8529</td> <td>8597</td> <td>8748</td> <td>8687</td> </tr> </tbody> </table> <p>Attached Documents :</p> <p>1.Institutional Data in Prescribed Format</p>	2017-18	2016-17	2015-16	2014-15	2013-14	8449	8529	8597	8748	8687	5.1.5 2.2.2 5.1.2 5.1.1 4.2.6 2.3.3 3.6.4 2.2.3 1.3.4 5.1.4 1.3.3 4.3.2 2.1.1	<p>HEI input and uploaded data does not match. excel sheet suggests data for 2017-18-2882,216-17-2261.. Edit HEI input accordingly.</p>	<p>The details of number of students year wise during the last five year is provided in supporting document.</p> <p>Supporting Document :</p> <p>2.1.xlsx</p>	No Answer Change
2017-18	2016-17	2015-16	2014-15	2013-14											
8449	8529	8597	8748	8687											
2.1	<p>Number of eligible applications received for admissions to all the programs year-wise during the last five years</p> <p>HEI Input :</p> <table border="1"> <thead> <tr> <th>2017-18</th> <th>2016-17</th> <th>2015-16</th> <th>2014-15</th> <th>2013-14</th> </tr> </thead> <tbody> <tr> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> </tbody> </table>	2017-18	2016-17	2015-16	2014-15	2013-14						2.1.2	<p>Please upload data required data.</p>	<p>PSG college of Technology is a Government Aided institution affiliated to Anna University-</p>	No Answer Change
2017-18	2016-17	2015-16	2014-15	2013-14											

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Metrics Level Deviation

Inbox (1) - druchitripathiblr@gm x DVV-HEI Clarification Request x +

https://assessmentonline.naac.gov.in/public/index.php/admin/dvv_heiclarification

Extended Profile Deviations Metrics Level Deviations

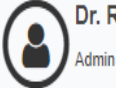
HEI Name : PSG COLLEGE OF TECHNOLOGY Assignment Date : 14/10/2018 Number of Clarifications : 79

AISHE ID : C-37013 Last Date : 29/10/2018

Metric ID	Deviation Details and HEI Response	Findings of DVV	Response from HEI	Status
1.2.1	<p>Percentage of new Courses introduced out of the total number of courses across all Programs offered during last five years</p> <p>1.2.1.1. How many new courses are introduced within the last five years</p> <p>HEI Input : 567</p> <p>1.2.1.2. Number of courses offered by the institution across all programs during the last five years</p> <p>HEI Input : 3087</p> <p>Attached Documents :</p> <p>1.Minutes of relevant Academic Council/BOS meetings 2.Institutional data in prescribed format</p>	Data mismatch . HEI input and uploaded document vary. Edit HEI input.	<p>The data mismatch was due to usage of multiple number of sheets in a single Excel file. A single excel file comprising of all the new courses across all the programs offered during the last five years is uploaded as a supporting document.</p> <p>Supporting Document: 1.2.1.xlsx</p>	No Answer Change
1.3.2	<p>Number of value-added courses imparting transferable and life skills offered during the last five years</p> <p>1.3.2.1. How many new value-added courses are added within the last 5 years</p> <p>HEI Input : 84</p> <p>DVV suggested Input : 64</p> <p>HEI clarification Input : 64</p> <p>Recommended Input : 64</p>	Provide Brochure or /Course content or syllabus relating to Value added courses.	https://drive.google.com/Ox_MkOckkXP?usp=sharing	HEIs Clarification Accepted

Type here to search

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SSR MANAGEMENT

- Dashboard
- Manage IQA <
- Manage SSR <
- Manage DVV <
- Student Survey Dashboard <
- View Infibnet Inputs
- Registration Approval
- Manage Profile Details

Metrics ID	Metrics	Old Metrics Value	New Metrics Value	Change in Percentage
1.1.2	Number of certificate/diploma program introduced during the last five years	5	4	20 % decrease
1.2.3	Average percentage of students enrolled in subject related Certificate/ Diploma programs/Add-on programs as against the total number of students during the last five years	14.94 %	12.68 %	15.13 % decrease
1.3.2	Number of value added courses imparting transferable and life skills offered during the last five years	5	1	80 % decrease
2.1.3	Average percentage of seats filled against seats reserved for various categories as per applicable reservation policy during the last five years	99.15 %	89.46 %	9.77 % decrease
2.4.4	Percentage of full time teachers who received awards, recognition, fellowships at State, National, International level from Government, recognised bodies during the last five years	4.76 %	7.14 %	50 % increase
3.4.2	Number of awards and recognition received for extension activities from Government /recognised bodies during the last five years	2	0	100 % decrease
5.1.2	Average percentage of students benefited by scholarships, freships, etc. provided by the institution besides government schemes during the last five years	4.34 %	3.21 %	26.04 % decrease

Standard Operating Procedure for Data Validation and Verification

- Third Party Validation and Verification Process
 - HEI provide the supporting documents during the SSR submission to facilitate speedy DVV clarification process.
 - It is mandatory to respond to **DVV clarification raised in extended profile and metrics with in stipulated time**
 - It is mandatory to fill the **Data Template**
 - The NAAC Portal supports only 5MB data. If size of the document exceeds 5MB, the HEI can host the supporting document(s) on the HEI's website and provide the link of the same in the template and/or in the HEI-DVV clarification response box.
 - Extended Profile & Metric wise, Documents/and specific instruction to HEI are available in Standard Operating Procedure for all quantitative metrics.
 - For documents in regional language, kindly provide an attested translated version in English
 - Though the data is large, the HEI has to fill the Data Template. During DVV clarification, the DVV will seek for sample selective documents. for validation.
- http://naac.gov.in/images/docs/apply_online/SOP-DVV-HEI/SOP-General-University-for-HEIs-26-8-19.pdf

Types of QnM Metrics

- ▶ **Block Year:** Number of certificate/diploma program introduced during last five years
- ▶ **Year Wise during last five years:** Average percentage of students from other States and Countries during the last five years

Year					
Number					

- ▶ **Optional:** Structured feedback received from
Students 2)Teachers 3)Employers 4)Alumni 5)Parents for design and review of syllabus-Semester wise/ year wise

Options:

Any 4 of the above, Any 3 of the above, Any 2 of the above, Any 1 of the above
None of the above

- ▶ **Yes/No type:** The institution offers a course on Human Values and professional ethics (Yes/ No)
- ▶ **Current Year :** Percentage of Programmes in which Choice Based Credit System (CBCS)/ elective course system has been implemented (current year data)

Challenging Metrics

- ▶ **4.1.4:** Average percentage of budget allocations, excluding salary for **infrastructure augmentation** during last five years (INR in Lakhs)
- ▶ **4.2.4:** Average annual expenditure for **purchase of books and journals** during the last five years (INR in Lakhs)
- ▶ **4.4.1:** Average expenditure incurred on **maintenance of physical facilities and academic support facilities** excluding salary component, as a percentage during the last five years (INR in Lakhs)
- ▶ **7.1.8:** Average percentage expenditure on **green initiatives and waste management excluding** salary component during the last five years (INR in Lakhs)

- ▶ **2.4.4:** Average percentage of full time teachers who received **awards, recognition, fellowships at State, National, International level from Government**, recognized bodies during the last five years
- ▶ **3.4.2:** Number of **awards and recognition received for extension activities from Government** recognized bodies during the last five years
- ▶ **3.5.1:** **Number of linkages** for faculty exchange, student exchange, internship, field trip, on-the-job training, research, etc during the last five years
- ▶ **3.5.2:** Number of **functional MoUs** with institutions of national, international importance, other universities, industries, corporate houses etc. during the last five years (only functional MoU's with ongoing activities to be considered)

Pre- Qualification

```
graph TD; A[Pre- Qualification] --> B[Qualified]; A --> C[Not Pre qualified]; B --> D[Peer Team Visit]; C --> E["Apply afresh for A&A through IIQA, After six months from the date of declaration about prequalification"]; style D fill:#008080,color:#fff; style E fill:#008080,color:#fff;
```

Qualified

Not Pre qualified

Peer Team Visit

Apply afresh for A&A through IIQA, After six months from the date of declaration about prequalification

STUDENT SATISFACTION SURVEY **(SSS) Process**

SSS will be conducted simultaneously with DVV process.

- **The data of the students for Student Satisfaction Survey (SSS) has to be submitted concurrently during online submission of SSR.**
- **Student Satisfaction Survey (2.7.1) which is part of criterion II on Teaching – Learning and Evaluation**
- **A stratified random sample** of students will be chosen for the survey. In sample, students would be spread evenly across different classes, year of enrolment and gender as far as possible.

Maximum of Two survey attempts will be initiated to reach the desired level response as per requirements mentioned below

i. **For Colleges – (UG/PG and Autonomous) responses should be received from at least 10% of the student population or 100, whichever is lesser.**

ii. For Universities 10% of the student population or 500, **whichever is lesser.**

➤ **Student Details**:-The HEIs are required to submit data of all students. (Class wise with name, e-mail & mobile number and Aadhaar number)

➤ **There are two separate columns for Student ID and Enrollement ID. In the absence of separate IDs the institutions can repeat same Id in the two columns**

- ▶ Total entries should not be greater than the students marked in Institutional Information for Quality Assessment (IIQA)
- ▶ **The SSS questionnaire:** (20 objective & 01 subjective) which is available in NAAC website and will also be e-mailed to students.
- ▶ SSS questionnaire is in English. NAAC website will have both versions available. If needed HEIs can make local language translation available for information of students before they take the survey.
- ▶ Questionnaire for Students

[English Version](#)

[Hindi Version](#)

Dr. Ruchi Tripathi
Admin

Student Satisfaction Survey(SSS) Dashboard

Close x

College/University Name :			VET FIRST GRADE COLLEGE
AISHE Id :			C-20829
SSR Submitted On :			23/10/2018 14:35:00
Total IIQA Count :	Total Upload Count	Total Students Selected:	
669	500	201	

Status

Survey Initiated On : 23/10/2018

Completed On : 22/11/2018

% RESPOND

15.994 %

[Students list](#)

- SSR MANAGEMENT
- Dashboard
- Manage IIQA
- Manage SSR
- Student Survey Dashboard
- SSS Old Dashboard
- SSS New Dashboard**
- SSS Search
- Manage DVV
- View Infilbnet Inputs
- Registration Approval

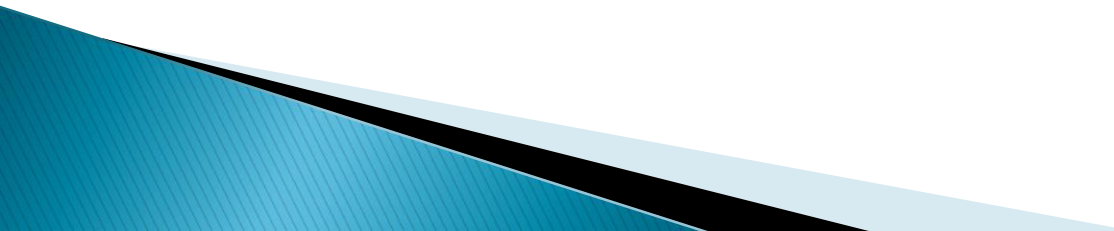
On-site Visit & Logistics

- ▶ NAAC will not pre-disclose the details of the visiting teams and **HEIs will not be responsible for Logistics for the Visiting Teams.**
- ▶ NAAC will directly take care of all the logistics regarding the **Peer Teams visiting the institutions, TA, DA, Honorarium, etc.**
- ▶ The institution needs to pay the second instalment of A & A fee and logistics fee along with three preferences of PTV Dates , nearest Airport / Hotels details for the peer team visit with in 15 days from the date of pre-qualification . An auto generated email will be sent to the registered email ID regarding the same.
- ▶ The institution will be informed about the finalised PTV dates through the portal. No physical documents will be sent to the institution . The communication will be through portal and or by email of Coordinator.

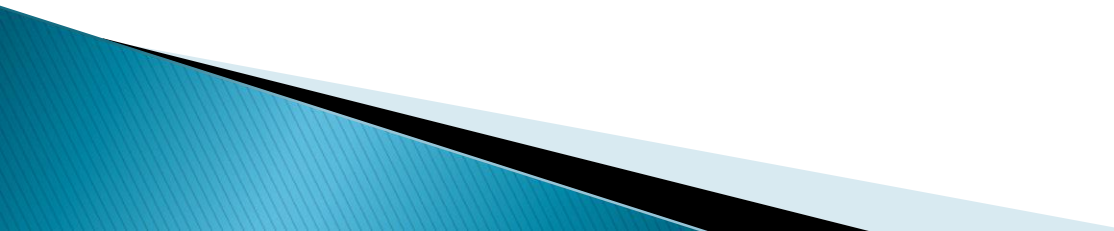
Provision for Reimbursement of A&A fees **UGC recognized institutions under 2f &** **12B.**

- ▶ Institutions which are recognized under section 2(f) and 12B of UGC Act, 1956 and receiving the General Developmental Grants from UGC should also pay the assessment and accreditation fees.
- ▶ The A&A fees and expenses on TA and logistics expenses of peer team would be reimbursed as per NAAC guidelines on submission of the latest General Developmental Grants sanction letter of UGC with an attestation by the Head of the Institution and other necessary documents, as and when NAAC receives grants from UGC

Peer Team Visit

- NAAC has a large database of experts, who are reputed academicians, senior educational administrators and researchers. These experts undergo an Assessors Orientation Programme prior to being inducted as Peer Team Members.
 - Automated Peer Team Selection will be carried out by the system.
- 

Declaration of Result and Validity of Accreditation

- ▶ The results of institutions will be declared by taking into consideration of System Generated Scores on all Qualitative Metrics, Score on the Student Satisfaction Survey and Scores given by Peer Team
 - ▶ The Accreditation is valid for a period of Five years. If an institution secures Grade A and above for two consecutive cycles then the validity of next cycle of accreditation will be for Seven years instead of Five years.
 - ▶ HEIs may opt for re-assessment only after one year and before three years from the date of declaration of result.
- 

NAAC Accreditation Outcome Document

1	Peer Team Report
2	Statistical Analysis of Quantitative Metrics (Q _n M)
3	Institutional Grade Sheet

Above three (3) parts would be combined together to form “NAAC Accreditation Outcome” document. It would be made mandatory for HEIs to display it on Institutional website apart from hosting it on NAAC website.

Grading System adopted in the RAF

Range of Institutional Cumulative Grade Point Average (CGPA)	Letter Grade	Status
3.51-4.00	A++	Accredited
3.26-3.50	A+	Accredited
3.01-3.25	A	Accredited
2.76-3.00	B++	Accredited
2.51-2.75	B+	Accredited
2.01-2.50	B	Accredited
1.51-2.00	C	Accredited
<= 1.50	D	Not Accredited



naac.it.ops@gmail.com

AISHE Id: C-15908

Higher Education Institution

Dashboard

Manage IIQA <

Manage SSR <

Manage DVV <

Manage Assessment <

Manage Appeal <

Manage AQAR <

Reports <

Support/ Helpdesk

Guidelines

FAQ

Home page of PT. DEEN DAYAL UPADHYAY GOVERNMENT DEGREE COLLEGE, SAIDPUR, GHAZIPUR

APPLICATION STATUS
IIQA APPROVED
 approved on 02 Jan 2018

PAYMENT STATUS
PAID
 paid on 27 Feb 2018

LAST LOGIN DETAILS
04 Feb 2019
 From: 47.247.246.183

CLARIFICATION
 No Clarification

Important Dates

NOTIFICATIONS

Institution Details

TRACK ID: UPCOGN101052

Institution Type College

Current Cycle Cycle 1

Previous Cycle Nil

Previous Grade Nil

Support / Helpdesk

Issues Raised 0

Issues Closed 0

Report New Issue

Support/Helpdesk:



naac.it.ops@gmail.com

AISHE Id: C-15988

Support/Helpdesk

Report an issue

Issues reported

Review responses

FAQ

Higher Education Institution

Dashboard

Manage IQA

Manage SSR

Manage DVV

Manage Assessment

Manage Appeal

Manage AQAR

Reports

Support/ Helpdesk

Guidelines

FAQ

Category

Select Category

Subject

A brief of your issue.

Description

Describe your issue here in details

Priority

Select Priority

Upload Document

Choose File No file chosen



Submit

Thank You